

**3<sup>rd</sup> EAS Partnership Council Meeting**  
**Agenda Item 4.0: Council Session**

**REPORT OF THE COUNCIL CHAIR**

**Introduction**

1. This Council Chair Report, submitted to the 3<sup>rd</sup> EAS Partnership Council Meeting, gives a summary account of the major decisions and work of the Executive Committee since its organization in July 2007 at the 1<sup>st</sup> EAS Partnership Council Meeting.
2. In the past three years, the EC convened six (6) meetings to lay down guidelines for PEMSEA operations. The fifth meeting was an expanded EC meeting, which invited partners and other experts to thresh out policy and technical issues. The list of participants and observers in the EC Meetings is found in Annex I.
3. EC provided direction to PEMSEA in pursuing its mandate and goals. Specifically, the EC continued to play significant role in steering PEMSEA's transformation into a full-fledged international organization. It continuously provided guidance to the PRF in this undertaking as well as in implementing Partnership Council decisions and key activities.
4. Through their personal capacity EC members made various efforts in promoting activities of PEMSEA to enhance government supports and contributions to as well as participation in PEMSEA.

**Key Decisions and Major PEMSEA Activities**

5. In the performance of its role, EC made decisions directing PRF to undertake certain key activities. The list of resolutions and decisions as well as the actions taken is attached as Annex 2. The EC contributed in the areas of institutional development of PEMSEA, PRF operations and regional programme implementation and advocacy. Some of the important activities are identified below.

INSTITUTIONAL DEVELOPMENT

6. Organizational. To-date, PEMSEA has 11 Country Partners and 19 Non-Country partners. In view of increasing interests in Council membership, the EC asked the PRF to revisit the guidelines on the inclusion of partners.
7. Legal Personality. The Agreement Recognizing the International Legal Personality of PEMSEA was signed by eight (8) Country Partners in November 2009. The document gives PEMSEA the capacity to enter into contracts and hold property in its own name and initiated PEMSEA's transformation into an international organization. The EC worked with the PRF

and the Technical Working Group (TWG) in the preparation of the Agreement as well as in undertaking strategic approaches to secure the endorsements of Country Partners.

8. Headquarters Agreement. The TWG was also tasked to prepare the Headquarters Agreement, which will give PEMSEA rights, immunities and privileges necessary for it to function as an international organization. The draft Headquarters Agreement was completed in November 2009. The inter-agency review of the Headquarters Agreement was held on 14 April 2010, hosted by the Philippines Department of Environment and Natural Resources (DENR). The EC will continue to work with the PRF to ensure the conclusion of the Headquarters Agreement as soon as possible.
9. PEMSEA Trust Fund. The PEMSEA Trust Fund was established in 2008. The initial source of funds came from the balance of the Trust Fund deposited with an IMO account amounting to US\$650,000, which were proceeds from PEMSEA activities from 1994 to 2008. EC authorized the opening of a bank account to hold the funds and directed the PRF to prepare the PEMSEA Trust Fund Management Guidelines. The Guidelines were approved by the EC in 2008.
10. Governance. The EC helped shape PEMSEA policies. Several policy documents and guidelines were prepared and adopted by the EAS Partnership Council. These included Guidelines on the Conduct of Meetings Pertaining to the Provisions on Elections (2008), Terms of Reference of the EC (2008), Guidelines for the Conduct of EC Meetings (2008), and Procedures for the Recruitment and appointment of the ED and RPD (2008).

#### PRF OPERATIONS AND REGIONAL PROGRAMME IMPLEMENTATION

11. Leadership. The EC played a vital role in the selection of the Executive Director of the PEMSEA Resource Facility and the Regional Programme Director of the UNDP/GEF Regional Programme on the Implementation of the SDS-SEA. The purpose was to ensure that the newly appointed Executive Director can provide the much needed strategic leadership and professional expertise in achieving the challenging goals of PEMSEA.
12. Manila Declaration. The EC supported the efforts of the PRF in the preparation and development of the Manila Declaration on the Strengthening of ICM for Sustainable Development and Climate Change Adaptation in the East Asia Region, which was adopted by the Country Partners in 2009. This document aimed at integrating ICM in sustainable development and climate change adaptation strategies.
13. Regional Programme Implementation. The EC provided guidance to the PRF on planning and operations relating to the implementation of the SDS-SEA.

#### ADVOCACY

14. EAS Congress. Through the EAS Congress, PEMSEA strengthened its advocacy campaign. The EC helped mobilize the Non-Country Partners and international organizations to co-convene various workshops. Mr. Terashima organized a post-congress meeting last April 12, 2010 amongst Japanese participants in Tokyo to exchange views on the Congress. The meeting generated enthusiastic discussion, promoted better understanding of PEMSEA objectives and efforts in the EAS Congress.

15. Representations in International Forums. The EC members represented PEMSEA and promoted its advocacy in numerous international forums organized or participating in various roles, including panelist, presenter, keynote speaker and participant. The list of conferences organized and attended by the EC members are given in Annex 3.

### **Perspectives on Transformation**

16. The EC initiated efforts to ensure the transformation to focus on the following:
- a) setting a timeline for PEMSEA to achieve self-sustainability by 2015;
  - b) setting identity of PEMSEA as the regional organization for coastal and ocean management;
  - c) transforming mode of operation to strengthen PEMSEA implementation of SDS-SEA through joint planning with state and non-state partners;
  - d) consolidating PEMSEA's major efforts which will have high impacts on strengthening regional coastal and ocean governance such as the ICM code, State of Coasts Reporting, IIMS, ICM certification, ICM postgraduate curriculum, PNLG networks, Areas of Excellence and ICM learning centers;
  - e) as part of the transformation process, requesting the PRF to develop:
    - i) Governance Mechanisms
    - ii) Sustainability Plan
    - iii) Advocacy Plan
    - iv) Re-engineering of PRF
    - v) Financing Mechanisms for SDS-SEA implementation
    - vi) Partnerships and Networking through Joint Planning

### **EC Work in Progress**

17. The work on the transformation of PEMSEA may take 1 to 2 years for completion. These activities should be continued through the guidance of the next EC when the current term of EC expires by 2010.

### **Actions for consideration by the 3<sup>rd</sup> EAS Partnership Council**

18. The EC requests the EAS Partnership Council to discuss and consider for appropriate action the following matters pertaining to:
- a) PEMSEA transformation plan;
  - b) procedural guidelines for developing, submitting and adopting PEMSEA good practices, guidelines, standards, codes and/or directives (3<sup>rd</sup> East Asian Seas Partnership Council, Agenda Item 13.0)

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## LIST OF EXECUTIVE COMMITTEE MEETINGS AND PARTICIPANTS

<b>1<sup>st</sup> Executive Committee Meeting</b> <b>PEMSEA Office, Philippines, 14-15 September 2007</b>
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**Executive Committee:**

Dr. Chua Thia-Eng  
Council Chair

Mr. Hiroshi Terashima  
Technical Session Chair

Atty. Analiza Rebueta-Teh  
Assistant Secretary and  
PEMSEA National Focal Point  
Department of Environment and Natural  
Resources  
Philippines

**Resource Persons:**

Dr. Anna Tengberg  
Regional Technical Advisor  
Land Degradation & International Waters  
UNDP Regional Centre in Bangkok  
Thailand

Mr. Kyo Naka  
Deputy Resident Representative  
UNDP  
Philippines

Ms. Amelia Dulce Supetran  
Portfolio Manager- Environment  
UNDP  
Philippines

Ms. Clarissa Arida  
Programme Manager  
UNDP  
Philippines

Mr. Woo, Dong-Sik  
Director of Marine Environment Policy  
Team  
Marine Environment Planning Office  
Ministry of Maritime Affairs and Fisheries  
RO Korea

Atty. Antonio G.M. La Viña  
Dean  
Ateneo School of Government  
Philippines

Atty. Merlin Magallona  
Professorial Lecturer and Former Dean UP  
College of Law  
University of the Philippines  
Philippines

Dr. Wilfrido Villacorta  
Senior Advisor to the President  
Office of the President  
Asian Institute of Management  
Philippines

Mr. Robert S. Jara  
Department of Environment & Natural  
Resources  
Philippines

**2<sup>nd</sup> Executive Committee Meeting**  
**PEMSEA Office, Philippines, 14-15 March 2008**

**Executive Committee:**

Dr. Chua Thia-Eng  
Council Chair

Dr. Li Haiqing  
Intergovernmental Session Chair

Mr. Hiroshi Terashima  
Technical Session Chair

Atty. Merlin Magallona  
Professorial Lecturer and Former Dean  
UP College of Law  
University of the Philippines  
Philippines

Atty. Antonio G.M. La Viña  
Dean  
Ateneo School of Government  
Philippines

**Technical Working Group on the  
Agreement Recognizing PEMSEA's Legal  
Personality:**

Atty. Raphael P.M. Lotilla  
Former Secretary  
Department of Energy  
Philippines

Atty. Analiza Rebueta-Teh  
Assistant Secretary and  
PEMSEA National Focal Point  
Department of Environment and Natural  
Resources  
Philippines

Atty. Maria Teresita Lacerna  
Consultant  
PEMSEA

**3<sup>rd</sup> Executive Committee Meeting**  
**Ocean Policy Research Foundation, Tokyo, Japan; 13 July 2008**

**Executive Committee:**

Dr. Chua Thia-Eng  
Council Chair

Mr. Hiroshi Terashima  
Technical Session Chair

Mr. Eiji Sakai  
Chief Manager  
International Affairs Team  
Maritime Technology Department  
Ocean Policy Research Foundation  
Japan

**Observers:**

Dr. Aiko Endo, PhD  
Research Fellow of the Policy Research  
Department  
Ocean Policy Research Foundation  
Japan

**4<sup>th</sup> Executive Committee Meeting**  
**Huwan Hot Spring Leisure Hotel, Beijing, PR China, 20 December 2008**

**Executive Committee:**

Dr. Chua Thia-Eng  
Council Chair

Dr. Li Haiqing  
Intergovernmental Session Chair

Mr. Hiroshi Terashima  
Technical Session Chair

Prof. Raphael P.M. Lotilla  
Executive Director  
PRF

**Participants:**

Prof. Mao Bin  
Senior Ocean Management Consultant  
Department of International Cooperation  
State Oceanic Administration  
People's Republic of China

Mr. Liang Fengkui  
Division Director  
Department of International Cooperation  
People's Republic of China

Dr. Zhang Zhaohui  
Program Assistant  
Department of International Cooperation  
State Oceanic Administration  
People's Republic of China

**Observer:**

Mr. Takashi Ichioka  
General Manager  
Policy Research Department  
Ocean Policy Research Foundation  
Japan

**5<sup>th</sup> Executive Committee Meeting (Expanded EC Meeting)**  
**Crowne Plaza Hotel, Manila, Philippines, 24-25 July 2009**

Cambodia

**Executive Committee:**

Dr. Chua Thia-Eng  
Council Chair

Mr. Hiroshi Terashima  
Technical Session Chair

Prof. Raphael P.M. Lotilla  
Executive Director  
PRF

**Participants:**

Mr. Long Rithirak  
Deputy Director General  
Ministry of Environment

Dr. Zhang Zhanhai  
Director General  
Department of International Cooperation  
State Oceanic Administration  
People's Republic of China

Mr. Jon Kyong Su  
Deputy Director General  
General Bureau for Cooperation with  
International Organizations (GBCIO)  
Ministry of Foreign Trade  
Democratic People's Republic of Korea

Mr. Ri Ki Ho  
Section Head  
Land Planning Institute  
Ministry of Land and Environmental  
Conservation  
Democratic People's Republic of Korea

Mrs. Wahyu Indraningsih  
Assistant Deputy for Marine Coastal  
Degradation Control  
Ministry of Environment  
Republic of Indonesia

Dr. Ir. Subandono Diposaptono, M. Eng.  
Director  
Marine and Coastal Division  
Ministry of Marine Affairs and Fisheries  
Indonesia

Mr. Shin-ichi Maruta  
Director for International Ocean Affairs  
Ocean Policy Division, Policy Bureau  
Ministry of Land, Infrastructure, Transport  
and Tourism  
Japan

Mr. Souphasay Komany  
Assistant Director  
Water Resources Coordination Committee  
Secretariat  
Water Resources and Environment  
Administration  
Prime Minister's Office  
Lao PDR

Mr. Demetrio L. Ignacio  
Undersecretary for Policy and Planning  
Department of Environment and Natural  
Resources  
Philippines

Ms. Teresita S. Castillo  
Undersecretary for Special Concern/Social  
Mobilization  
Department of Environment and Natural  
Resources  
Philippines

Mr. Lee Jeong-Hyun  
Deputy Director  
Marine Policy Bureau  
Ministry of Land, Transportation and  
Maritime Affairs  
Republic of Korea

Mr. Hong Sun-Bae  
Assistant Director  
Marine Policy Bureau  
Ministry of Land, Transport and Maritime  
Affairs  
Republic of Korea

Ms. Phua Lee Choon  
Assistant Director  
International Relations Division  
Ministry of the Environment and Water  
Resources  
Singapore

Mr. Augusto Fernandes  
National Director of Fisheries and  
Aquaculture  
National Directorate of Fisheries and  
Aquaculture (NDFA)  
Ministry of Agriculture and Fisheries (MAF)  
Timor Leste

Mr. Akacio Da Costa  
Chief of Coastal Fisheries Management  
National Directorate of Fisheries and  
Aquaculture (NDFA)  
Ministry of Agriculture and Fisheries (MAF)  
Timor Leste

Dr. Nguyen Chu Hoi  
Deputy Administrator  
Vietnam Administration of Seas and Islands  
Socialist Republic of Vietnam

Dr. Gil Jacinto  
Executive Director  
Coastal Management Center

Ms. Evangeline Miclat  
Marine Programme Coordinator  
Conservation International Philippines

Prof. Yasuwo Fukuyo  
Vice Chair  
UNESCO-IOC Regional Secretariat for  
WESTPAC  
Thailand

Dr. Ghang Jong-Hee  
President  
Korea Maritime Institute  
Republic of Korea

Mr. Kim Hak-So  
Director  
Planning and Coordination Division  
Korea Maritime Institute  
Republic of Korea

Dr. Kim Jong-Deog  
Coordinator  
Planning and Coordination Division  
Korea Maritime Institute  
Republic of Korea

Mr. Takashi Ichioka  
General Manager  
Policy Research Department  
Ocean Policy Research Foundation  
Japan

Dr. Aiko Endo, PhD  
Research Fellow of the Policy Research  
Department  
Ocean Policy Research Foundation  
Japan

Mr. Declan O'Driscoll  
Regional Director  
Oil Spill Response Limited

Singapore

Mr. Anders Granlund  
Counsellor, Senior Regional Advisor  
SENSA  
Thailand

Dr. Delfin Ganapin  
Global Manager  
UNDP/GEF Small Grants Programme  
New York, U.S.A.

Dr. David Osborn  
Global Programme of Action for the  
Protection of the Marine Environment  
from Land-based Activities (GPA)  
United Nations Environment Programme  
Nairobi, Kenya

Ms. Amelia Dulce Supetran  
Portfolio Manager- Environment  
UNDP  
Philippines

Dr. Anna Tengberg  
Regional Technical Advisor  
Land Degradation & International Waters  
UNDP Regional Centre in Bangkok  
Thailand

**6<sup>th</sup> Executive Committee Meeting**  
**Beijing Longxi Hotspring Resort, Beijing, PR China, 12-13 March 2010**

**Executive Committee:**

Dr. Chua Thia-Eng  
Council Chair

Dr. Li Haiqing  
Intergovernmental Session Chair

Mr. Hiroshi Terashima  
Technical Session Chair

Prof. Raphael P.M. Lotilla  
Executive Director  
PRF

**Participants:**

Dr. Zhang Zhanhai  
Director General  
Department of International Cooperation  
State Oceanic Administration  
People's Republic of China

Prof. Mao Bin  
Senior Ocean Management Consultant  
Department of International Cooperation  
State Oceanic Administration

People's Republic of China  
Mr. Liang Fengkui  
Division Director  
Department of International Cooperation  
State Oceanic Administration  
People's Republic of China

Mr. Lu Xingwang  
Program Assistant  
Department of International Cooperation  
State Oceanic Administration  
People's Republic of China

**Observer:**

Mr. Takashi ICHIOKA  
General Manager  
Policy Research Department  
Ocean Policy Research Foundation  
Tokyo, Japan

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**KEY EXECUTIVE COMMITTEE DECISIONS AND STATUS OF IMPLEMENTATION  
(2007-2010)**

**A. Matters pertaining to PEMSEA’s future direction or transformation to a self-sustaining mechanism**

Agenda Item	Major Decisions	Status of Implementation/ Actions Taken
<p><b>Recognition of PEMSEA’s Legal Personality and drafting of Headquarters Agreement</b></p>	<p><b><u>1<sup>st</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• The PRF create a Task Force or Working Group of policy and legal experts to develop a work plan and concept papers for the PEMSEA Transformation and establishment of PEMSEA legal personality. The timeline to be set will target the EAS Congress 2009 as the launching of the PEMSEA with legal personality.</li> </ul> <p><b><u>2<sup>nd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• Refine the concept paper and protocol to emphasize the benefits to be derived by countries with recognition of PEMSEA’s legal personality.</li> <li>• Draft a Headquarters Agreement for consideration by the Government of the Philippines, including preparation of a strategy and process to facilitate signature, in consultation with the EC.</li> <li>• Develop strategic approaches for each country to secure their endorsements in accordance with their national legal processes and try to secure three signatures even before the EAS Congress 2009.</li> </ul> <p><b><u>3<sup>rd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• The PRF continue with the development of PRF Sustainability Plan but to give priority to the recognition of PEMSEA’s Legal Personality.</li> </ul> <p><b><u>4<sup>th</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• The PRF visit countries in order to directly assist Country Partners in</li> </ul>	<ul style="list-style-type: none"> <li>• PRF organized a Technical Working Group (TWG), composed of legal and policy experts to conduct studies and recommendations on the establishment of PEMSEA’s Legal Personality.</li> <li>• the 1<sup>st</sup> EC meeting examined several options for legal identity.</li> <li>• TWG developed concept papers and drafted the Agreement Recognizing PEMSEA’s Legal Personality and Headquarters Agreement.</li> <li>• Eight ministers at the Third Ministerial Forum (November 2009) signed the Agreement Recognizing PEMSEA’s Legal Personality.</li> <li>• Negotiation on the PRF Headquarters Agreement is ongoing with concerned government agencies in the Philippines.</li> </ul>

	<p>their efforts to obtain approvals for the Agreement.</p> <ul style="list-style-type: none"> <li>The PRF prepare information materials on PEMSEA’s achievements for distribution to Country Partners, as well as promotion/exhibition during upcoming forums and events within and outside of the region.</li> </ul> <p><b>5<sup>th</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>Countries should target completion of respective consultation and approval processes with a view to signing the Agreement during the EAS Congress 2009.</li> <li>PRF will continue consultations and preparations for the adoption of the Headquarters Agreement with DENR Philippines for submission and approval by the Government of the Philippines.</li> </ul> <p><b>6<sup>th</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>A report on status of the HQ Agreement will be presented at the EAS Partnership Council in July 2010.</li> </ul>	
<p><b>PEMSEA Partnership Fund</b></p>	<p><b>1<sup>st</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>The PRF send a request to the IMO regarding the transfer of the balance to the Trust Fund to the UNOPS Escrow account, under the name of PEMSEA Partnership Fund, once established, and seek GEF and UNDP’s agreement to transfer the residual fund of the GEF Regional Programme as seed funding for the Partnership Fund.</li> </ul> <p><b>6<sup>th</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>The PRF to update status of PEMSEA Partnership Fund and PEMSEA Trust Fund including CSAs at the 3<sup>rd</sup> PC Meeting.</li> </ul>	<ul style="list-style-type: none"> <li>Details on the setting up and concept of the PEMSEA Partnership Fund being developed in line with the Transformation of PEMSEA</li> </ul>
<p><b>PEMSEA Trust Fund</b></p>	<p><b>2<sup>nd</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>The EC sign the MOA with UNOPS regarding the PEMSEA Trust Fund, on behalf of the EAS Partnership Council.</li> </ul>	<ul style="list-style-type: none"> <li>PEMSEA Trust Fund established and outstanding balance from IMO Trust Fund deposited into the new bank</li> </ul>

	<ul style="list-style-type: none"> <li>• The PRF set up the principles and policy of the use of the PEMSEA Trust Fund</li> <li>• The PRF identify priority areas for project development and implementation, and leveraging co-financing support from donors, private sector and other entities.</li> </ul> <p><b><u>3<sup>rd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• The EC approved an Executive Committee Resolution authorizing the PRF Executive Director to establish and open a PEMSEA bank account and designate signing authorities.</li> <li>• The PRF to draw up and submit Guidelines on the Use of the Funds for approval by the EC.</li> </ul> <p><b><u>4<sup>th</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• The EC endorsement of the PEMSEA Trust Fund Management Guidelines (organizational and administrative arrangements for managing the Fund; sourcing voluntary contributions and use of the Fund; financial management and external auditing; procurement procedures).</li> </ul> <p><b><u>6<sup>th</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• The PRF to update status of PEMSEA Partnership Fund and PEMSEA Trust Fund including CSAs at the 3<sup>rd</sup> PC Meeting.</li> </ul>	<p>account.</p> <ul style="list-style-type: none"> <li>• PRF earmarked the available funds in the Trust Account for capacity development and knowledge-sharing initiatives in the region over the next three years in support of the SDS-SEA implementation</li> <li>• PEMSEA Trust Fund Management Guidelines endorsed by the EC.</li> <li>• Status of PEMSEA Partnership Fund and Trust Fund to be presented and discussed at the 3<sup>rd</sup> PC Meeting.</li> </ul>
<p><b>PRF Sustainability Plan</b></p>	<p><b><u>1<sup>st</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• The PRF develop a team to draft the strategy and comprehensive business plan taking into consideration PEMSEA’s current status on legal personality.</li> </ul> <p><b><u>2<sup>nd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• Formulate a Sustainability Plan in line with the following options: <ul style="list-style-type: none"> <li>- engage donors at local, national and regional levels;</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• PRF Sustainability Plan reviewed by a consultant.</li> <li>• PRF linked the development and implementation of sustainability plan with PEMSEA Legal Personality and Partnership Fund.</li> <li>• Concept Paper on Sustainability of a Re-engineered PRF to be presented under</li> </ul>

	<ul style="list-style-type: none"> <li>- cost-sharing of PRF services across State and Non-State Partners through CSAs or similar arrangements;</li> <li>- Recover costs for PRF services as requested and provided to State and Non-State partners and collaborators;</li> <li>- Combination of the above options.</li> </ul> <ul style="list-style-type: none"> <li>• Consider other options for sustaining the PSHEM Code and Recognition Service, including developing proposals for donor support in collaboration with selected ports as beneficiaries.</li> </ul> <p><b><u>3<sup>rd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• The PRF to continue with the development of the PRF Sustainability Plan, but to give priority to the recognition of PEMSEA’s legal personality.</li> </ul> <p><b><u>5<sup>th</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• In line with PEMSEA’s sustainability, the PRF will assist interested developing countries in developing appropriate sustainable development project proposals for possible funding under the Yeosu Project.</li> </ul> <p><b><u>6<sup>th</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• The PRF will report on its progress on accessing financial and in-kind assistance for SDS-SEA implementation on a regular basis to the Council and to the EC, including the latest status on Yeosu Project.</li> </ul>	<p>agenda item 18.0 of the Intergovernmental Session of the 3<sup>rd</sup> PC Meeting.</p> <ul style="list-style-type: none"> <li>• The selection committee of the Yeosu Project has selected 7 projects, including PEMSEA assisted projects in Vietnam, Philippines and Indonesia.</li> </ul>
<p><b>PEMSEA’s future thrusts and Strategy for next phase/ A Transformed PEMSEA</b></p>	<p><b><u>4<sup>th</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• Further refine the concept of PEMSEA’s future thrust and strategy for the next phase of PEMSEA taking into account the mutual benefits of Partners and collaborators in the region.</li> <li>• Develop an implementation strategy for each component of the SDS-SEA, including a monitoring, evaluation and reporting system that builds on the work undertaken on the SOC reporting system</li> </ul>	<ul style="list-style-type: none"> <li>• PRF developed working document outlining various considerations with regard to PEMSEA’s future thrusts and strategies.</li> <li>• PRF developed initial paper outlining basic principles of a transformed PEMSEA including mission, operating philosophy, strategic direction and financial</li> </ul>

	<p>and the Framework of Partnership Programmes (FoPP).</p> <p><b>5<sup>th</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>• The PRF will develop an SDS-SEA implementation plan and PEMSEA business plan with guidance from the EC.</li> <li>• The PRF needs to highlight PEMSEA’s niche on coastal and ocean governance and build a globally-recognized brand based on its strengths.</li> </ul> <p><b>6<sup>th</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>• The PRF will prepare a draft document regarding a transformed PEMSEA for review and discussion at the 3<sup>rd</sup> PC Meeting.</li> </ul>	<p>sustainability and presented at the 6<sup>th</sup> EC Meeting.</p> <ul style="list-style-type: none"> <li>• Concept papers on a Transformed PEMSEA to be discussed under agenda item 6.0 of the Council Session of the 3<sup>rd</sup> PC Meeting.</li> <li>• Development of an Implementation Plan for SDS-SEA to be presented and discussed under agenda item 9.0 of the Technical Session of the 3<sup>rd</sup> PC Meeting.</li> </ul>
<p><b>Joint Planning among Partners</b></p>	<p><b>6<sup>th</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>• Organize joint planning sessions among Partners with the aim of developing a 2-5 year work program among Partners for SDS-SEA implementation.</li> <li>• Prepare procedures for developing, submitting and adopting PEMSEA good practices, guidelines, standards, codes and/or directives in support of joint planning.</li> </ul>	<ul style="list-style-type: none"> <li>• A progress report in the preparation of the SDS-SEA implementation plan, including planned activities for 2010 to be presented under agenda item 8.0 of the Technical Session.</li> <li>• A synthesis of emerging trends and priorities across the region to be presented under agenda item 9.0 of the Technical Session.</li> <li>• A concept paper on the need and benefits of strengthening collaborative planning among Partners for the implementation of the SDS-SEA and a joint planning procedure to be presented and discussed under agenda item 10.0 of the Technical Session.</li> </ul>

**B. Matters pertaining to the GEF/UNDP/UNOPS Project on SDS-SEA Implementation and other Technical Issues**

Agenda Item	Major Decisions	Status of Implementation/ Actions Taken
<p><b>GEF/UNDP/UNOPS Project on SDS-SEA Implementation and Project extension</b></p>	<p><b><u>1<sup>st</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• Finalize questions on budget with the UNDP/GEF Regional Center in Bangkok so as to secure endorsement of the Project Document.</li> </ul> <p><b><u>2<sup>nd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• The PRF include GEF and UNDP focal points in all future interactions in order to establish and maintain strong relationships.</li> <li>• Secure the signature of the Project Document and to initiate country work programmes in April 2008.</li> <li>• The PRF set up a small working group to look into subregional activities and create new proposals that will enhance the synergies between and among these activities.</li> </ul> <p><b><u>3<sup>rd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• In consideration of the heavy work load, attempt to reduce the number of planned activities, focusing on activities that result in high impact and outcomes that support of SDS-SEA objectives.</li> </ul> <p><b><u>4<sup>th</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• Streamline the project activities using the consolidated agreements/contracts.</li> <li>• Operate with flexibility in implementing GEF project, taking into account different situations of the GEF eligible countries and the procedures for contract and payment approvals within the UNOPS.</li> <li>• Maintain close working relationship with the country focal points to ensure efficient implementation of all project activities and continue to strengthen the role of national project coordinating office in implementing project activities where appropriate.</li> </ul>	<ul style="list-style-type: none"> <li>• PRF received the GEF CEO endorsement on November 2007.</li> <li>• MOAs with GEF eligible countries signed: Cambodia (2008), China (2008), Indonesia (2009), Lao PDR (2008) , Philippines (2009), Timor Leste (2008), Vietnam (2010); MOA with Thailand still under consideration by the Ministry of Foreign Affairs but initial agreement on the content has been reached.</li> <li>• Some national trainings were integrated into regional training to reduce number of activities</li> <li>• On streamlining of activities, the PRF developed templates for 4 consolidated project agreements/contracts covering: 1) national SDS policy and programme development; 2)national ICM scaling up development and implementation; 3) integrated river basin and coastal area management; 4) capacity development, in pursuant with MOAs signed with countries.</li> <li>• Summary table of EC meeting recommendations and decisions were presented in every EC meeting to highlight status of implementation and action taken.</li> <li>• Proposed revised budget approved by UNOPS and request for extension to be</li> </ul>

	<p><b>5<sup>th</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>• Include analysis of the achievement and impacts of project activities along with highlight of major achievements, outcomes and problems in future reports on SDS-SEA implementation.</li> <li>• Facilitate signing of MOAs on the national implementation of SDS-SEA with Indonesia, Thailand and Vietnam to avoid further delays in the start up of country work programmes</li> <li>• Assess the possibility of the need to extend the project and inform GEF/UNDP/UNOPS on changes and realignment of timetable and budget allocation.</li> </ul> <p><b>6<sup>th</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>• In cases where delays in signature of MOAs persist, the PRF will make special efforts in terms of personal visits to the field and consultation with concerned national or local agencies in order to accelerate project activities, in collaboration with the NFPs.</li> <li>• The PRF establish regular communication and closer collaboration with National Focal Agencies and collaborators at local level in all countries.</li> </ul>	<p>reconfirmed with Andrew Hudson.</p> <ul style="list-style-type: none"> <li>• Work Plan and revised budget including project extension to be presented under agenda item 20.0 of the Intergovernmental Session of the 3<sup>rd</sup> PC Meeting.</li> </ul>
<p><b>GEF application for funding</b></p>	<p><b>6<sup>th</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>• The PRF prepare a PIF application for submission to GEF Secretariat by November 2010.</li> </ul>	<ul style="list-style-type: none"> <li>• A concept paper/ Project Identification Form (PIF) on the 2<sup>nd</sup> Phase of the GEF Project on the Implementation of the SDS-SEA (2012-2015) to be presented under agenda item 12.0 of Technical Session of the 3<sup>rd</sup> PC Meeting.</li> </ul>
<p><b>Critical and Emerging Issues</b></p>	<p><b>2<sup>nd</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>• PRF to include critical and emerging issues such as coastal reclamation, coastal use zoning and climate change adaptation, in the Agenda of the 2<sup>nd</sup> PC Meeting's Technical Session, for the purpose of developing these topics as themes at the EAS Congress 2009.</li> </ul>	<ul style="list-style-type: none"> <li>• Following the recommendation of the 2<sup>nd</sup> EC Meeting, PRF tabled and discussed the critical and emerging issues at the 2<sup>nd</sup> PC Meeting.</li> <li>• The PRF table and organized workshops at the EAS Congress 2009 focusing on coastal</li> </ul>

	<p><b><u>3<sup>rd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>The inclusion of workshops in the EAS Congress 2009 covering critical and emerging issues in the region, such as coastal reclamation, island management and coastal zoning.</li> </ul>	<p>reclamation and island management, coastal use zoning, and climate change adaptation.</p> <ul style="list-style-type: none"> <li>A synthesis of emerging trends and priorities across the region, which are directly related to the objectives and targets of the SDS-SEA will be presented under agenda item 8.0 of the Technical Session of the 3<sup>rd</sup> PC Meeting.</li> </ul>
<p><b>Framework of Partnership Programmes (FoPP)</b></p>	<p><b><u>1<sup>st</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>The PRF complete the Framework before the end of 2007 and to initially test the Framework using the Philippines as a case study, and present revised framework at the 2<sup>nd</sup> Council Meeting.</li> </ul> <p><b><u>2<sup>nd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>Refine the Philippines case study in order to demonstrate its usefulness and value in the region.</li> <li>Proceed with the development of Framework of Partnership Programmes with Non-State Partners only, for submission to the EAS Partnership Council in July 2008.</li> </ul> <p><b><u>3<sup>rd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>Develop and implement the FoPP and SOC reporting system incrementally, starting with practical applications to meet the needs of Partners and local governments, and evolving over time as experience is gained.</li> </ul> <p><b><u>4<sup>th</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>Develop an implementation strategy for each component of the SDS-SEA, including a monitoring, evaluation and reporting system that builds on the work undertaken on the SOC reporting system</li> </ul>	<ul style="list-style-type: none"> <li>FoPP template developed and initial test conducted using the information on the Philippines.</li> <li>PRF presented the refined FoPP, and the results of the Philippine case study to the 2<sup>nd</sup> EC Meeting, and FoPP further refined using the Philippines case.</li> <li>PRF also requested the non-State Partners to develop their respective frameworks.</li> <li>A regional summary of the State and non-State initiatives related to the SDS-SEA was developed by PRF, using the baseline information gathered in 2005.</li> <li>Updating and completion of FOPPs by Country and Non-Country Partners ongoing.</li> <li>Development of an Implementation Plan for SDS-SEA to be presented and discussed under agenda item 9.0 of the Technical Session of the 3<sup>rd</sup> PC Meeting.</li> </ul>

	and the Framework of Partnership Programmes (FoPP).	
<b>State of the Coasts (SOC) Reporting System</b>	<p><b>1<sup>st</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>The PRF conduct an initial test of the template using Batangas and Manila Bay information, and report the results to the 2<sup>nd</sup> Council Meeting.</li> </ul> <p><b>2<sup>nd</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>The PRF refine the SOC case study for Batangas in line with the Sustainable Development Framework, and demonstrate the use of the SOC as an operational tool for local governments.</li> <li>The refined report be submitted to the 2nd EAS Partnership Council Meeting in July 2008 for endorsement as an operational tool for local governments implementing ICM.</li> <li>The PRF interact with other Partners and regional collaborators (e.g., IOC) who are currently working on regional and subregional reporting systems, with a view to integrating the local governments' SOC reports into the regional/subregional reports, for the production of a regional SOC report.</li> </ul> <p><b>3<sup>rd</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>Develop and implement the FoPP and SOC reporting system incrementally, starting with practical applications to meet the needs of Partners and local governments, and evolving over time as experience is gained.</li> </ul> <p><b>4<sup>th</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>Develop an implementation strategy for each component of the SDS-SEA, including a monitoring, evaluation and reporting system that builds on the work undertaken on the SOC reporting system and the Framework of Partnership Programmes (FoPP).</li> </ul>	<ul style="list-style-type: none"> <li>Suggestions of the 1<sup>st</sup> EC Meeting incorporated in the SOC template.</li> <li>PRF tested the template using Batangas information and presented initial test result of Batangas case study at the 2<sup>nd</sup> EC Meeting.</li> <li>PRF further refined the SOC case study for Batangas, with the set of indicators and list of data requirements identified, and SOC template refined.</li> <li>SOC report published as a case study and disseminated at the 2<sup>nd</sup> PC Meeting.</li> <li>State of the Coasts (SOC) orientation and workshops conducted (Bataan, Guimaras, Macajalar Bay, Philippines; Timor Leste; Jakarta Bay, Indonesia; Lao PDR; Sihanoukville, Cambodia); SOC-related reports developed (Chonburi, Thailand; Sihanoukville, Cambodia; and Xiamen, China draft SOC report; Guimaras' SOC baseline and action plan; Sedone, Lao PDR profile; Hue and Quang Nam, Vietnam initial assessment of data).</li> </ul>

<p><b>ICM Training Course and Manuals and ICM Learning Centers</b></p>	<p><b><u>2<sup>nd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• Proceed with the testing of the newly developed ICM training course curriculum prior to publication.</li> <li>• Continue with plan to organize the Training of Trainers for the newly developed ICM training course curriculum and involve the academe.</li> <li>• Explore the role of academe and other institutions in the conduct of training and develop mechanism for the accreditation of these institutions.</li> <li>• Identify measures to ensure quality control in the delivery of the ICM training courses including an auditing system for training providers.</li> <li>• Give priority to parallel sites in the selection of participants for the national ICM training courses for wider dissemination/transfer of knowledge. These participants for the parallel sites are potential members of the National Task Force.</li> </ul> <p><b><u>4<sup>th</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• Finalize procedure and criteria for the selection of the ICM Learning Centers to be presented at the EC Meeting in July 2009.</li> </ul> <p><b><u>5<sup>th</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• Implement the demand and supply survey of management and technical skills for integrated management of coasts and oceans, in collaboration with National Focal Points, local governments and universities in the region, with a view to preparing a standard curriculum for an ICM post graduate degree course by the end of 2009.</li> <li>• Accelerate the development and finalization of the ICM Code, ICM training modules and required Special Skills Training Programmes, in collaboration with interested Partners.</li> </ul>	<ul style="list-style-type: none"> <li>• (indicate trainings conducted)</li> <li>• ICM Course 1 Training Manual laid out. Initial e-copies distributed to Partners (Cambodia, Indonesia, Timor, Philippines) with scheduled ICM trainings for 2010. Final review for Courses 2-5 to be conducted. Training schedule for 2010 developed, including special skills trainings.</li> <li>• ICM Executive Course to be developed with National Parks Board Singapore in August 2010.</li> <li>• Coastal Use Zoning (CUZ) training modules being developed</li> <li>• Procedure and criteria for selection of ICM Learning Center being developed</li> <li>• IUCN proposal on “Adaptation to climate change and climate variability for coastal communities in East Timor” developed through AusAid joint project.</li> <li>• Two workshops convened in October and November 2009. Curriculum is under development based on the results of the survey and workshops. Workshop participants (from Universities) also agreed to establish an e-group to contribute in the development of syllabus for ICM Post-Graduate core courses.</li> <li>• 5 ICM Learning Center established (Cambodia, Indonesia, Philippines(2), Vietnam); Request for designation of UP Visayas as ICM Learning Center received.</li> <li>• ICM Core Course: Principles and Practice of</li> </ul>
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		<p>Integrated Coastal Management drafted.</p> <ul style="list-style-type: none"> <li>• A two-semester ICM Core Course offering drafted.</li> </ul>
<p><b>ICM and PSHEM Codes and Recognition System</b></p>	<p><b>2<sup>nd</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>• Focus its efforts on the testing of the ICM Code and in securing two to three sites to be awarded with the ICM Code recognition in the next 3 years.</li> <li>• Ensure that the development of the ICM Code will be carefully studied to ensure that it is practical and doable.</li> </ul> <p><b>3<sup>rd</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>• Organize (a) technical working group(s), comprised of interested State and non-State Partners and other international experts/institutions to evaluate and refine the ICM and PSHEM Codes, related guides and resource documents, and the respective Recognition Systems.</li> </ul> <p><b>5<sup>th</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>• Accelerate the development and finalization of the ICM Code, ICM training modules and required Special Skills Training Programmes, in collaboration with interested Partners.</li> </ul> <p><b>6<sup>th</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>• Prepare procedures for developing, submitting and adopting PEMSEA good practices, guidelines, standards, codes and/or directives in support of joint planning.</li> </ul>	<ul style="list-style-type: none"> <li>• ICM Code revised and restructured based on the result of Peer Review and further reviewed by PRF ICM Practitioner</li> <li>• ICM Code, Port Safety Health and Environmental Management Code, State of the Coast (SOC) guideline developed and field tested.</li> <li>• 9 local governments and 3 ports were recognized by PEMSEA for their contribution to sustainable development of marine and coastal areas of the region during the EAS Congress 2009. Recognition was based on conformance to the ICM Code of Good Practice and the PSHEM Code.</li> <li>• A working document on a standard procedure for reviewing and approving codes, guidelines and good practices in support of SDS-SEA implementation will be presented and discussed under agenda item 13.0 of the Technical Session of the 3<sup>rd</sup> PC Meeting.</li> </ul>

### C. Matters pertaining to the EAS Congress and Third Ministerial Forum

Agenda Item	Major Decisions	Status of Implementation/ Actions Taken
<p><b>EAS Congress 2009 and 2012</b></p>	<p><b><u>1<sup>st</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• Conduct further consultations with Partners regarding the theme of the EAS Congress</li> <li>• Develop a strong promotional campaign to encourage the participation of various Partners and stakeholders in the Congress.</li> </ul> <p><b><u>2<sup>nd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• Revise the EAS Congress Programme, using the Sustainable Development Framework for Coastal Areas as a guide for developing the central themes of the International Conference, and focusing on practical issues relevant to the region.</li> <li>• Engage PEMSEA Partners (both State and Non-State) and other potential partners in the organization and identification of key issue-areas or Partners’ programmes that can be aligned with the EAS Congress.</li> <li>• Identify and secure the participation of leading experts to serve as key speakers for plenary sessions or workshops of the International Conference and provide financial support for their participation, as required and available.</li> <li>• Explore a new conference format by combining selected workshops with the exhibition.</li> <li>• The PRF maintain communications with ROK to confirm RO Korea’s interest to host the EAS Congress 2012.</li> </ul> <p><b><u>3<sup>rd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• Identify and invite keynote speakers, experts and resource persons to the EAS Congress 2009 in order to ensure high quality presentations and knowledge-sharing.</li> </ul>	<ul style="list-style-type: none"> <li>• PRF revised the Congress programme based on comments and suggestions received from the EC and Partners, and developed and initiated implementation of the Congress promotional campaign plan.</li> <li>• PRF developed various Congress promotional and information materials, and participated in various environment-related events.</li> <li>• MOA between DENR and PEMSEA finalized and signed on 5 June 2008 as part of the Congress launching in the Philippines; NOC established.</li> <li>• RO Korea confirmed its interest to host EAS Congress 2012 during the 5<sup>th</sup> EC Meeting and at the Special Council Meeting during the EAS Congress 2009.</li> <li>• Internationally renowned speakers and experts were invited for key ceremonies, plenary sessions and workshops to ensure high quality knowledge sharing (i.e. Pres. Gloria Macapagal-Arroyo; Pres. Fidel V. Ramos; Prof. Tommy Koh; Dr. Emil Salim; Prof. Michael Crawford; Dr. Edgardo Gomez; Dr. Torkil Clausen; Dr. Bhichit Rattakul; Prof. Rudolf Wu; Dr. Magda Lovei; etc.)</li> <li>• Sessions such as the Legislator’s Dialogue</li> </ul>

	<ul style="list-style-type: none"> <li>• Interact with World Ocean Conference in Manado, Indonesia, the BioMarine 2008 Forum in France, and other relevant international conferences, for the development and promotion of EAS Congress and Ministerial Forum.</li> </ul> <p><b>4<sup>th</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>• Explore and promote the organization of side events/meetings of existing networks and interest groups, such as policymakers/legislators, scientific groups and universities, during the EAS Congress 2009 and attempt to regularize meetings of such networks into Congress every 3 years.</li> <li>• Organize interactive workshop sessions with legislators, experts and representatives of the media as Chairs or panelists.</li> <li>• Set target for number of participants and promote and facilitate efforts to meet their targets.</li> </ul> <p><b>5<sup>th</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>• PRF will ensure quality of technical workshops and paper presentations, as well as proper coordination with NOC and co-conveners.</li> <li>• PRF explore engaging international and regional media as well as tapping new media to expand promotions and publicity of the Congress.</li> <li>• PRF and Country and Non-Country Partners provide seek further possible funding or tapping of co-sponsors to cover registration fees or other expenses during the EAS Congress.</li> </ul> <p><b>6<sup>th</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>• The PRF will prepare a comprehensive review of the EAS Congress 2009 along with recommendations for the organization and conduct of the EAS Congress 2012, for dissemination and discussion during the EAS Partnership Council.</li> </ul>	<p>and Corporate Social Responsibility were organized during the Congress to promote and encourage involvement of legislators/policymakers as well as business sector in coastal and ocean governance/management.</p> <ul style="list-style-type: none"> <li>• EAS Congress 2009 conducted with 1,480 participants, 100 exhibitors, 51 co-conveners and supporting organizations, and 12 sponsors. The outcomes of the Congress were presented at the Global Oceans Forum, Climate Change Conference, in Copenhagen in December 2009.</li> <li>• Key events included the Third Ministerial Forum and the International Conference with 21 workshops and 9 special events, including the 2nd EAS Youth Forum with 100 youth participants (61 core participants selected from PEMSEA countries) and the Corporate Social Responsibility Forum on Public-Private Partnerships for the Sustainable Development of Manila Bay participated by 31 corporations.</li> <li>• Post-assessment of Congress events and organization conducted internally by the PRF.</li> <li>• EAS Congress workshop reports, abstracts and ppt presentations uploaded in EAS Congress website.</li> </ul>
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<p><b>Third Ministerial Forum</b></p>	<p><b><u>2<sup>nd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• The PRF organize the 2009 Ministerial Forum taking into consideration the following aspects: <ul style="list-style-type: none"> <li>a. Ministerial policy statements;</li> <li>b. EAS Partnership Council progress report on SDS-SEA implementation;</li> <li>c. Signing of the Protocol for Recognition of PEMSEA’s Legal Personality;</li> <li>d. A Ministerial Declaration or Statement;</li> <li>e. A field trip or side event, such as mangrove planting or sea turtle release</li> </ul> </li> </ul> <p><b><u>3<sup>rd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• Building on the experiences of PEMSEA, ICM be featured as a tool for the climate change adaptation at the Ministerial Forum.</li> <li>• Revise Ministerial Declaration with a focus on climate change adaptation through ICM implementation.</li> </ul> <p><b><u>4<sup>th</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• Finalize programme for the Ministerial Forum in consultation with NOC.</li> </ul>	<ul style="list-style-type: none"> <li>• MF Programme developed (incorporating the idea of Ministers visiting the exhibit area as part of the MF forum/discussions)</li> <li>• Preparations for MF including field visits organized in coordination with host country Philippines.</li> <li>• Policy brief on ICM and climate change developed and distributed at the EAS Congress in support of the Manila Declaration.</li> <li>• Manila Declaration developed and refined based on comments from EC and PC and circulated to countries for finalization.</li> <li>• Third Ministerial Forum conducted on 26 November 2009 with 12 PEMSEA countries represented.</li> <li>• 11 Ministers participated and signed the Manila Declaration on the Strengthening of ICM for Sustainable Development and Climate Change Adaptation in the East Asia Region.</li> </ul>

	<ul style="list-style-type: none"> <li>Develop appropriate materials, brochures, case studies and policy briefs concerning the application of ICM as a practical strategy and measure for implementation of climate change adaptation at the local level, as accompanying documents to the Declaration.</li> </ul> <p><b>5<sup>th</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>Confirm Ministers' attendance and consult with NFPs regarding the signing of Agreement recognizing PEMSEA's legal personality as well as Manila Declaration during EAS Congress 2009.</li> <li>Finalize MF programme and field activity in coordination with NOC.</li> </ul>	<ul style="list-style-type: none"> <li>8 Ministers signed the Agreement Recognizing the International Legal Personality.</li> </ul>
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#### D. Matters pertaining to Meeting Rules and Procedures

Agenda Item	Major Decisions	Status of Implementation/ Actions Taken
<b>Terms of References of the EC</b>	<p><b>1<sup>st</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>The endorsement of the draft TOR with amendments to the 2<sup>nd</sup> EAS Partnership Council Meeting</li> </ul>	<ul style="list-style-type: none"> <li>TOR of the EC adopted by the 2<sup>nd</sup> PC Meeting.</li> </ul>
<b>Guidelines for Conduct of EC Meetings</b>	<p><b>1<sup>st</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>The submission of the Proposed Guidelines for Conduct of EC Meetings to the EAS Partnership Council for final approval</li> </ul>	<ul style="list-style-type: none"> <li>Proposed Guidelines for Conduct of EC Meetings adopted by the 2<sup>nd</sup> PC Meeting.</li> </ul>
<b>Process of Election of Council Chairs by Consensus</b>	<p><b>1<sup>st</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>The inclusion of possible scenarios that might arise during the nomination process in a supplementary document to improve understanding among both State and non-State partners.</li> <li>The submission of the clarified nomination/election procedure and supplementary document to Council for consideration.</li> </ul>	<ul style="list-style-type: none"> <li>Process of Election of Council Chairs by Consensus adopted by the 2<sup>nd</sup> PC Meeting.</li> </ul>

<p><b>Procedure for the Recruitment and Appointment of the RPD and ED</b></p>	<p><b><u>2<sup>nd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>The Procedure for the recruitment and appointment of the Regional Programme Director for the Implementation of the SDS-SEA and the Executive Director of the PEMSEA Resource Facility, as refined and agreed to by the Executive Committee, be endorsed to the 2nd EAS Partnership Council Meeting</li> </ul>	<ul style="list-style-type: none"> <li>Procedure for the Recruitment and Appointment of the RPD and ED adopted by the 2<sup>nd</sup> PC Meeting.</li> </ul>
<p><b>Executive Committee Elections</b></p>	<p><b><u>6<sup>th</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>The PRF will prepare a proposal to the Partnership Council concerning a one-year delay of EC elections and providing for vice or alternate chairs.</li> </ul>	<ul style="list-style-type: none"> <li>A proposal for a one-year postponement of elections of EC members will be submitted to the 3<sup>rd</sup> PC Meeting, in view of the concentrated effort that will be required by the executive body to guide and facilitate PEMSEA's transformation. The proposal will be tabled under agenda item 3.1 of the Council Session of the 3<sup>rd</sup> PC Meeting.</li> </ul>
<p><b>Inclusion of new Partners</b></p>	<p><b><u>1<sup>st</sup> to 5<sup>th</sup> EC Meetings:</u></b></p> <ul style="list-style-type: none"> <li>Acceptance of the following as new non-State Partner of PEMSEA: IOI (2007); NOWPAP (2007); SENSEA (2007); EMECS (2008); IUCN-ARO (2009); PNLG (2009); ACB (2009).</li> </ul> <p><b><u>6<sup>th</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>Revisit criteria on inclusion of new Partners and develop a guideline on the eligibility criteria for application as a Non-Country Partner of PEMSEA.</li> <li>Explore possible collaboration or linkage with national networks of non-Country partners to assist in national implementation of SDS-SEA.</li> </ul>	<ul style="list-style-type: none"> <li>New non-Country Partners accepted and participated in PC Meetings; MOUs and LOCs signed.</li> <li>Guideline on the eligibility criteria for application of Non-Country Partners of PEMSEA to be presented under agenda item 3.2 of the Council Session of the 3<sup>rd</sup> PC Meeting.</li> </ul>

## E. Matters pertaining to the PRF

Agenda Item	Major Decisions	Status of Implementation/ Actions Taken
<p><b>Development and Implementation of a QMS</b></p>	<p><b><u>2<sup>nd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• PRF administration/management take a strong role in explaining and engaging the PRF staff in the development and implementation of the QMS.</li> </ul>	<ul style="list-style-type: none"> <li>• A draft QMS Manual with process diagrams and process descriptions of identified PRF planning, core, support and review and improvement processes has been prepared</li> <li>• A QMS document being developed in line with the Transformation of PEMSEA and Re-engineering of PRF. Details will be included in the presentation of PEMSEA Transformation documents at the 3<sup>rd</sup> PC Meeting.</li> </ul>
<p><b>Recruitment of the PRF Executive Director and Technical Staff</b></p>	<p><b><u>1<sup>st</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• UNOPS be consulted regarding the EC's role/involvement in the process of recruitment and selection of the PRF Executive Director.</li> <li>• PEMSEA be advised by UNDP/GEF Regional Center in Bangkok as to when advertisements of available positions can be released so as to avoid further delays in the recruitment of staff for the PRF Technical Services.</li> <li>• The appointment of Mr. Adrian Ross as Acting Regional Programme Director and Interim Executive Director starting 1 October 2007 be confirmed with UNDP and IMO.</li> </ul> <p><b><u>2<sup>nd</sup> EC Meeting:</u></b></p> <ul style="list-style-type: none"> <li>• Atty. Lotilla be appointed Executive Director of the PEMSEA Resource Facility, in accordance with the adopted Procedures, recognizing that UNOPS is still in the process of appointing Atty. Lotilla as the Regional Programme Director.</li> <li>• A full report of the recruitment and appointment process be submitted</li> </ul>	<ul style="list-style-type: none"> <li>• UNOPS agreed to invite Dr. Chua as a member of selection committee for the PRF ED.</li> <li>• PRF closely consulted with UNDP Bangkok on PRF recruitment.</li> <li>• EC appointed Mr. Ross as Acting RPD and IED.</li> <li>• PRF drafted the Procedure for the recruitment and appointment of the RPD and ED.</li> <li>• EC appointed Atty. Lotilla as the ED. Atty. Lotilla formally introduced at the 2<sup>nd</sup> EAS Partnership Council Meeting.</li> <li>• PRF completed the recruitment process in collaboration with UNOPS and UNDP, but some staff recruitment were deferred in view of the budgetary constraints, as such</li> </ul>

	<p>to the 2<sup>nd</sup> EAS partnership Council.</p> <ul style="list-style-type: none"> <li>• The PRF prepare a list of current PRF posts, both open and filled, for discussion at the 2nd EAS Partnership Council Meeting.</li> <li>• Proceed with the proposed actions, in particular: <ul style="list-style-type: none"> <li>a. defer staffing of two international posts in the PRF Technical Services (i.e., Programme Officer for the Learning Center; Programme Officer for Partnership Applications) for at least one year;</li> <li>b. defer staffing of three national posts in the PRF Technical Services (i.e., one Country Programme Manager; a Programme Officer for Project Development; and an IT Assistant) for a period of 6 to 12 months;</li> <li>c. in the interim, to cope with the staff shortage the two international staff of the PRF Secretariat Services will be assigned technical activities; and</li> <li>d. develop and implement the PEMSEA National Task Force (NTF) and Regional Task Force (RTF) to support field activities.</li> </ul> </li> <li>• Review the situation in 6 to 12 months to gauge the viability of undertaking additional staffing, and further explore possibilities of co-financing with State Partners, solicit additional funding from the GEF; and develop new project proposals for submission to donor agencies and other organizations.</li> <li>• Inform the State Partners of the current budgetary restrictions of the PRF arising from the US dollar depreciation at the EAS Partnership Council meeting.</li> </ul>	<p>PRF assigned two international staff (under the Secretariat Services) to country operations and other technical responsibilities.</p> <ul style="list-style-type: none"> <li>• RTFs and NTFs were trained and mobilized for regional initiatives and national ICM implementation and scaling up efforts</li> </ul>
<p><b>Upgrading PEMSEA Staff's capacity to meet the new</b></p>	<p><b>5<sup>th</sup> EC Meeting:</b></p> <ul style="list-style-type: none"> <li>• As appropriate, provide opportunities to the PRF staff for capacity development through, for example, workshops or trainings in order to increase their capacity to carry out new activities and overcome</li> </ul>	<ul style="list-style-type: none"> <li>• Staff attended various conferences and training.</li> <li>• Staff Briefing and discussion on ASEAN and possible areas of collaboration, led</li> </ul>

<b>challenges</b>	challenges	by Dr. Rodolfo Severino, Head of ASEAN Studies Centre and former ASEAN Secretary General.
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**LIST OF ACTIVITIES UNDERTAKEN BY MEMBERS OF THE  
EXECUTIVE COMMITTEE IN PROMOTING PEMSEA AND SUPPORT TO THE PRF (2007-2010)**

<b>Generic Activities</b>	<b>Remarks (funding/travel support)</b>
<b>Executive Committee Meetings</b>	
1 <sup>st</sup> Meeting, PEMSEA Office, 14-15 September 2007 (Dr. Chua Thia-Eng and Mr. Hiroshi Terashima)	PEMSEA; OPRF (partial-travel)
2 <sup>nd</sup> Meeting, PEMSEA Office, 14-15 March 2008 (Dr. Chua Thia-Eng, Dr. Li Haiqing, Mr. Hiroshi Terashima)	PEMSEA; OPRF (partial-travel)
3 <sup>rd</sup> Meeting, OPRF, Tokyo, Japan, 13 July 2008 (Dr. Chua Thia-Eng and Mr. Hiroshi Terashima)	PEMSEA/OPRF
4 <sup>th</sup> Beijing, PR China, 20 December 2008 (Dr. Chua Thia-Eng, Dr. Li Haiqing, Mr. Hiroshi Terashima)	PEMSEA; OPRF (partial-travel) and SOA (partial-meeting)
5 <sup>th</sup> Manila, Philippines, 24-25 July 2009 (Dr. Chua Thia-Eng and Mr. Hiroshi Terashima)	PEMSEA; OPRF (partial-travel)
6 <sup>th</sup> Beijing, PR China, 12-13 March 2010 (Dr. Chua Thia-Eng, Dr. Li Haiqing, Mr. Hiroshi Terashima)	PEMSEA; OPRF (partial-travel) and SOA (partial-meeting)
<b>EAS Partnership Council Meetings</b>	
1 <sup>st</sup> Meeting, Manado, Indonesia, 17-20 July 2007	PEMSEA (with additional support from Indonesia-MOE)
2 <sup>nd</sup> Meeting, Tokyo, Japan, 14-17 July 2008	PEMSEA (with additional support from Japan-MLIT and OPRF)

**Individual Activities**

**A. Dr. Chua Thia-Eng**

<b>Major Activities</b>	<b>Remarks (funding/travel support)</b>
<b>International Conference and Workshops</b>	
1. Presented a talk on "Towards Achieving Sustainable	PEMSEA

Coastal and Ocean Development in the Seas of East Asia: 2008-2017 “ at the 4 <sup>th</sup> Global Forum on Oceans, Coasts and Small Islands, Hanoi, Vietnam, 7-10 April 2008	
2. Presented a keynote address on “Integrated Coastal Management: The Tales of Two Cities” with reference to Xiamen and Batangas activities at the 5 <sup>th</sup> World Fisheries Congress, Yohama, Japan, 20-22 October 2008	The Fishery Society of Japan
3. Participated in the World Ocean Week in Xiamen and delivered an opening address, 5-7 November 2008; and Chaired a session of the WOW main forum on 7 November 2009	Xiamen University and Xiamen Municipality
4. Presented a paper on PEMSEA’s ICM approach at the Modelling Society of Japan, 14 October 2008	The Modelling Society of Japan
5. Participated in the International Seminar on “10 years after the year of the ocean 1998”, Lisbon, Portugal, 11-12 November 2008; and the preparation of the “Lisbon Statement”	Government of Portugal
6. Attended the MERIT Seminar on Marine Pollution at City University, Hong Kong as member of the International Advisory Committee, 4-7 January 2009	MERIT, City University of Hong Kong
7. Presented a report on PEMSEA ICM training at the Workshop on postgraduate training on coastal governance hosted by the Shanghai Ocean University, Shanghai, 25-26 February 2008	Shanghai University, China
8. Attended workshop on “Climate Game Change”, Bangkok, Thailand, 21-22 January 2009	Sida, Sweden
9. Presented a paper on “Paradigm Shift in Coastal and Ocean Governance; <i>Implementing the sustainable strategy for the Seas of East Asia</i> “ at the International Seminar on Blue Economy and Green Growth, Seoul, RO Korea, 7 May 2009	Korea Maritime Institute (KMI)
10. Presented a paper on “Case study on Ecosystem-Based Approaches to Oceans Management (examples of building blocks)” at the APEC workshop on Implementing Ecosystem Approaches to Fisheries in the Context of the Broader Marine Environment, Vancouver, Canada, 23-30 May 2009	APEC
11. Delivered an opening address during the Marine	PEMSEA/ SOA

Economy Workshop in celebration of the China Ocean Day, 18 July 2009	
12. Delivered a keynote address on “Securing Waters in Coastal Areas of the Seas of East Asia: PEMSEA Experience” at the World Water Forum, 11-22 August 2009	SIWI, Sweden
13. Presented a paper on “Policy and Management Options to Address Hypoxia in Coastal Waters” at the UNEP/GEF STAP workshop on Hypoxia, Shanghai, China, 21-23 October 2009	UNEP/GEF
14. Presented a paper on “Strategies and Approaches for Sustaining Capacity Development in Coastal and Ocean Governance in the Seas of East Asia “, and Chaired a panel session on “Management and Funding of Educational and Training Processes” at the International Conference on “50 Years of Education and Awareness Raising for Shaping the Future of the Oceans and Coasts—Sharing lesson learned and proposing long-term projections”, St. Petersburg, Russia, 27-30 April 2010	IOC Commission, Russia
15. Presented a paper on “Implementation of ICM at Regional, National and Local Level –Experience from the East Asian Region” and Chaired a plenary on “Implementation of Best Practices in Integrated, Ecosystem-based Ocean and Coastal Management at National and Regional Levels and in Areas beyond National Jurisdiction” at the Global Conference on Oceans, Coasts and Small Islands, Paris, 2-7 May, 2010	OPRF, Japan
16. Gave two presentations on “ PEMSEA and the Sustainable Development Strategy for the Seas of East Asia” and “ The Bohai Declaration” at the CCICED Task Force on Ocean for China at Halifax, Canada 11-14, May, 2010.	CCICED Secretariat, Canada
<b>Capacity Development in ICM</b>	
1. Made a series of public and in-house (OPRF) lectures to national, prefectural and local government officials, academe, researchers and NGOs interested in the development of ICM in Japan. The lectures took place from April to July during 2008, 2009 and 2010.	OPRF, Japan
2. Conducted a series of lectures on ICM to the Singapore government officials from various agencies	Singapore Parks Authority

and delivered a public lecture on ICM, Singapore, 3-8 February 2009	
3. Initiated and Chaired a working group on PRF initiative in the development of ICM postgraduate curriculum for adoption by universities in the region (2008-2010)	PEMSEA (partial)
4. Delivered a series of lectures at Nippon University on the concept and practice of ICM, 23 April and 14 May 2009	Nippon University / OPRF
5. Delivered a special lecture at the University of Tokyo on "Application of ICM framework for strengthening the sustainability and effectiveness of MPAs and other marine biodiversity agenda", 7 July 2009	University of Tokyo and OPRF
6. Delivered a lecture at the UNITAR training workshops for Asia at Hiroshima, Japan, 28 September 2009	UNITAR
<b>Networking</b>	
1. Participated at the 2 <sup>nd</sup> OPINEAR meeting on 7-10 February 2010 as its adviser	OPRF
2. Participated at the PNLG meeting in Bataan, Philippines, 21 November 2009; and delivered opening remarks and co-chaired the PNLG General Assembly meeting	PEMSEA
<b>Support to PRF in Manila</b>	
1. Visited the PRF Office in Manila in connection with the preparation for the EAS Congress, Ministerial Forum, publication of 2006 EAS congress papers, etc; 7-13 March, 9-14 May, 15-20 August, and 29-30 December 2008	PEMSEA
2. Visited the PRF Office in Manila to review PRF's activities in connection with implementation of Council decision and provide technical advice to staff, 25-28 March, 4-16 April, 11-12 July, and 20-26 July 2009	PEMSEA
3. Visited the PRF Office in Manila in connection with Executive Committee decisions on 15-16 March 2010	PEMSEA
4. Attended the SOA launching of PEMSEA Phase II at Donying, China, 4-8 July 2009	PEMSEA/ SOA

## B. Mr. Hiroshi Terashima

Major Activities	Remarks ( funding/travel support)
<b>Support to PEMSEA Meetings, Workshops/ Events</b>	
1. Hosting of the 3 <sup>rd</sup> Executive Council Meeting in Tokyo, Japan, 13 July 2008	OPRF
2. Hosting the 2 <sup>nd</sup> EAS Partnership Council Meeting in Tokyo, Japan in cooperation with the Ministry of Land, Infrastructure, Transport and Tourism of Japan, 14-17 July 2008	OPRF
3. Organization of PEMSEA/Japan Joint Seminar back to back with the 2 <sup>nd</sup> EAS Partnership Council Meeting, 18 July 2008	OPRF
4. Participation in the EAS Congress 2009 and Co-convening of the Workshop on “Coastal/Ocean Policy and Legislation: Implementation and New Initiative” in Manila, Philippines, 23-27 November 2009	OPRF/ PEMSEA (partial)
<b>In-country Discussions in Support of PEMSEA Activities</b>	
1. Follow-up meeting for the EAS Congress 2009 inviting Japanese participants (central and local government officials, researchers, NGOs, etc.) in the Congress, 12 April 2010	OPRF
2. Discussions with Japanese government organizations with regards to PEMSEA’s international legal status (Ministry of Land, Infrastructure, Transport and Tourism and Ministry of Foreign Affairs), 2008-2009	OPRF
<b>International Conference and Workshops</b>	
1. Promotion of PEMSEA’s activities in a series of Global Ocean Conferences through workshops: Hanoi, Vietnam in 2008; Paris, France in 2010	OPRF
2. Including PEMSEA as an observer in the OPINEAR (Ocean Policy Institute Network in the East Asian Region) and promotion of PEMSEA in the activities of the Network, Feb 2008-ongoing. Three (3) OPINEAR Meetings conducted: <ul style="list-style-type: none"> <li>• 1<sup>st</sup> Meeting, Japan, February 28-29, 2008</li> <li>• 2<sup>nd</sup> Meeting, Malaysia, November 25, 2008</li> </ul>	OPRF

<ul style="list-style-type: none"> <li>• 3<sup>rd</sup> Meeting, RO Korea, February 8, 2009</li> </ul>	
<b>OPRF Projects/Activities Related to ICM Promotion in Japan</b>	
1. "Ocean Diagnosis" of Semi-enclosed Seas and Bays (2000-2011)	OPRF
2. Integrated Management of Forests, Rivers, Oceans (2009-2011)	OPRF
3. Survey on the Perception of Local Government and Universities on ICM (2009)	OPRF
4. Development and Promotion of University Curriculum on ICM (2010-2012)	OPRF
5. ICM Demonstration at the Local Level (2010-2012) (including ICM Lectures targeting local government officials)	OPRF

**C. Dr. Li Haiqing**

<b>Major Activities</b>	<b>Remarks ( funding/travel support)</b>
<b>Support to PEMSEA Meetings</b>	
1. Facilitating the hosting of the 4th EC meeting (20 Dec 2008) and 6th Meeting (12-13 March 2010) in China	PEMSEA; China-SOA (partial)
2. Participation in the EAS Congress 2009 and Third Ministerial Forum	PEMSEA
<b>Support to PEMSEA operations</b>	
1. Facilitating China's continued financial support to the operations of the PRF Secretariat Services under the Cost-Sharing Agreement (CSA)	
2. Providing advise to PEMSEA activities in China	
<b>Promoting sustainable development in the region</b>	
1. Facilitating the implementation of the bilateral agreement between China and the Phillipines. The Phillipines- China Joint Action Plan for Strategic Cooperation signed in October 2009 stated that both countries will work cooperatively toward the	

implementation of the SDS-SEA under the PEMSEA, and will continue to provide financial and technical support to PRF Secretariat Services.	
2. Provided support in enhancing public awareness (including high level officials) on ocean development and management in China through series of publicity activities and celebration of China Ocean Day throughout China's coasts (18 July 2008; 18 July 2009; 8 June 2010)	
<b>Participation in International Conference and Workshops</b>	
1. Participation in Global Oceans Forum	China
2. Providing advise and support in the organization and conduct of Xiamen World Ocean Week (XWOW)	